Attorney Docket No.: YO999-276 (8728-299)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Coffman et al.

Examiner: A. Armstrong

**SERIAL NO.:** 

09/374,374

Group Art Unit: 2654

FILED:

August 13, 1999

Dated: March 18, 2003

FOR:

METHOD FOR DETERMINING AND MAINTAINING DIALOG

FOCUS IN A CONVERSATIONAL SPEECH SYSTEM

Assistant Commissioner for Patents Washington, DC 20231

RECEIVED

MAR 2 5 2003

AMENDMENT TRANSMITTAL FORM Technology Center 2600

Sir:

Transmitted herewith is an amendment in the above-identified application.

- [] Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- [] A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- [X] No additional fee is required.

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addit. Fee	Rate	Addit. Fee
TOTAL CLAIMS	25	25	0	x 9 =	\$0	x 18 =	\$0
INDEPENDENT CLAIMS	3	3	0	x 42 =	\$0	x 84 =	\$0
[] First Presentation of Multiple Dep. Claim				140		280	\$0

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 18, 2003.

Dated: March 18, 2003

Frank V DeRosa

[]	Please charge Deposit Account No. <u>50-</u> of \$ Two (2) copies of this sheet are	0510/IBM (Yorktown Heights) in the amount e enclosed.			
[]	A check in the amount of \$	is enclosed.			
[X]	Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM (Yorktown Heights). Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM (Yorktown Heights) therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.				
_	011411 0 4000014TT0 11 D	Respectfully submitted,			
190 Eas	CHAU & ASSOCIATES, LLP 00 Hempstead Turnpike, Suite 501 st Meadow, NY 11554 6) 357-0091	Frank V. DeRosa			

Reg No. 43,584
Attorney for Applicant(s)



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Assistant Commissioner for Patents Washington, D.C. 20231

MAR 2 6 2003

**Technology Center 2600** 

## **AMENDMENT**

This is a response to the Office Action mailed on December 18, 2002. Please amend the above-identified application as follows:

## CERTIFICATE OF MAILING 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on March 18, 2003.

Date: March 18, 2003

Frank DeRosa